dun & bradstreet

BROCHURE

D&B Risk Analytics Supplier Intelligence

Confidently Manage Supplier Risk and Compliance Screening with Dun & Bradstreet

Get Access to Dun & Bradstreet's Future-Ready Supplier Intelligence Solution

Introducing Dun & Bradstreet's New Supplier Risk Management and Restricted Party Screening Solution

Anticipating and mitigating supplier risk just got even easier! D&B Risk Analytics is Dun & Bradstreet's new supplier risk management and restricted party screening solution. Driven by AI-powered data and insights, the solution provides comprehensive thirdparty risk intelligence for a new era of focus on business resilience.

Procurement and compliance teams are under pressure to deal with an increasing variety and pace of changes in the market. Third-party risk exposure is impacted by rapidly scaling complexity, along with complicated and diverse regulations, and it's important for companies to proactively monitor their supplier relationships. D&B Risk Analytics enables you to monitor an extensive assortment of risk incidents, including those reported in global and regional markets, government and private databases, and corporate information. This cloud-based solution leverages Dun & Bradstreet's best-in-class supplier risk data to help drive informed decisions and unprecedented visibility throughout third-party relationship lifecycles.

D&B Risk Analytics helps you achieve an optimal balance of risk insights with both a holistic risk overview of all monitored suppliers and the ability to gain a greater depth of risk information on individual suppliers.



D&B Risk Analytics – A Comprehensive, Easy-to-Use Solution to:

- INTELLIGENTLY MANAGE RISK Avoid gaps in supplier risk management and reduce the likelihood of operational disruption with end-to-end risk management. D&B Risk Analytics "red flags" critical indicators of supply risk – including risk conditions, ESG performance, business profiles, financial indicators – and features broad content and deep insight across multiple risk domains.
- IMPROVE EFFICIENCY Help predict and mitigate risk quickly with near real-time global visibility. This scalable and collaborative solution features intuitive screening workflows, personalized risk views, and automated alerts relevant to your business and role. D&B Risk Analytics is one of the very few solutions on the market today that provides both comprehensive continuously updated data integrated into software to manage risk.
- ENHANCE BUSINESS INTELLIGENCE Increase visibility of your supply chain with an easyto-use, intuitive interface that allows you to quickly and easily manage and monitor your supplier portfolio. Embedding risk intelligence into procurement processes provides timely risk insights needed to enhance your company's business intelligence.

D&B Risk Analytics – a Powerful Solution to Help Avoid Costly Disruptions

Developed to anticipate and mitigate supplier risk, D&B Risk Analytics is a powerful solution for monitoring supplier relationships and helping to avoid costly disruptions. With D&B Risk Analytics, you can be sure you have the materials, services, and partners to:

- Be more effective in your supplier selection and appraisals
- Measure, monitor, and benchmark ESG performance of your portfolio
- See where your riskiest areas are to help mitigate hidden risks
- Monitor changes to suppliers so you can be proactive in your risk management
- Screen suppliers against sanctions and watchlists, politically exposed persons (PEPs), adverse media, and state-owned companies (SOC) sanction lists for financial compliance

D&B Risk Analytics allows companies to quickly and easily import and export supplier information to perform analysis or to share – with simple guides available to help collaborate and scale. In addition, the restricted party screening capabilities help businesses perform regulatory risk checks on executives and principals with ease by leveraging data from another trusted global provider, Dow Jones.

RESTRICTED PARTY SCREENING

- SANCTIONS AND WATCHLISTS Help detect, prevent, and manage sanctions risk of people and/or entity-level associated with Anti-Money Laundering (AML), Anti-Bribery and Corruption (ABC), terrorism, terrorist financing, narcotrafficking, war crimes, and economic sanctions.
- SPECIAL INTEREST PERSONS Provides global media coverage of individuals involved in a legal process for serious crimes such as financial crime, tax crime, organized crime, corruption, trafficking, and terrorism financing.
- ADVERSE MEDIA ENTITIES Focuses on companies with a corporate structure and commercial purpose that may have had adverse/ negative media coverage on regulatory, competitive, financial, environmental, production, social, and labor-related topics.

KEY FUNCTIONALITIES



MONITORING & ALERTS

Receive ongoing notification of suppliers' instability before your supply chain is disrupted.



LOCATE

Quickly find alternate suppliers by industry, location, size, socioeconomic/diversity status, risk scores, and more.

SANCTIONS SCREENING

Perform either a quick or a comprehensive restricted party screening, using intelligent workflows.



REPORTING & ANALYSIS

Run reports to assess your portfolio for financial risk activity, ESG risk, diversity status, and even usage, such as those entities that are due for rescreening.

Powered by the Dun & Bradstreet Data Cloud

D&B Risk Analytics draws on data and insights from the Dun & Bradstreet Data Cloud—trusted by 90% of Fortune 500 companies as well as many leading government agencies. The solution features Dun & Bradstreet's comprehensive global data and analytics on more than 455 million companies, including demographics, financial information, corporate family linkage, government and industry compliance status, and diversity classifications. The Data Cloud and Live Business Identity deliver deep supplier intelligence to help enable ethical and responsible sourcing, avoid FCPA anti-bribery sanctions, and meet Know Your Customer and anti-money laundering regulations. Live Business Identity delivers the most comprehensive and continually updated view of your important business relationships, helping procurement and compliance teams stay ahead of market shifts and specific customer changes.

D&B Risk Analytics also provides Dun & Bradstreet's proprietary predictive scores and ratings to provide users with additional deep insight.

PREDICTIVE SCORES, RANKINGS, & RATINGS

D&B Risk Analytics features Dun & Bradstreet's proprietary predictive scores and ratings

D&B SUPPLIER EVALUATION RISK™ (SER) D&B SUPPLIER STABILITY INDICATOR™ (SSI) D&B PAYDEX® D&B RATING® D&B FAILURE SCORE D&B ESG RANKINGS®

Predicts the likelihood that a supplier will cease operations without paying all creditors in full, or obtain relief from creditors under state/federal law, over the next 12 months. The SER rating provides powerful supplier insight by combining key risk indicators into a single rating.

Predicts the likelihood that a supplier will file for bankruptcy, cease operations, or become inactive over the next 3 months. The SSI is developed exclusively on known suppliers in the Dun & Bradstreet Data Cloud to meet the specific needs of supply management professionals.

Provides a unique monetarily-weighted numerical indicator of how a firm has paid its bills and is a proprietary measure of historical trade payment performance based on trade experiences reported to Dun & Bradstreet.

Provides an indication of credit worthiness and consists of two parts. Financial Strength: an indication of firm size based on employee size or financials. Risk Indicator: an overall evaluation of creditworthiness based on information available such as trade payment history, length of operation, employee numbers, and financials.

Predicts the likelihood that a business will seek legal relief from its creditors, cease business operations without paying all its creditors in full, voluntarily withdraw from business operation and leave unpaid obligations, go into receivership or reorganization, or make an arrangement for the benefit of creditors over the next 12 months based on information in the Dun & Bradstreet Data Cloud.

Provides an indication of an entities environmental, social, and governance performance that may directly affect the entities business health and performance as a business partner. This ranking is broken down into 31 topic specific levels spanning 13 key categories.

PORTFOLIO DASHBOARD offers a

comprehensive view of your supplier portfolio risk performance segmented by risk scores, events, and other indicators. The dashboard displays graphs, charts, and relevant reports that help make high level reporting and analysis quick and easy.

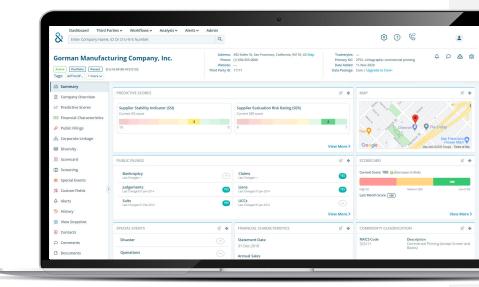
The user interface is customizable, allowing you to select what scores to include, graph or chart types to display, and includes a tag filtering system to segment which suppliers are displayed.

& Enter Company Name,	ID Or D-U-N-S Number	Q	© © %	25
SUMMARY			KEY ACTIONS	
CORE 131 Actual Usage 200 Contracted Volume	CORE + 132 Actual Usage 140 Contracted Volume	Enhanced RPS + 49 Actual Usage > 900 Contracted Volume	Third Parties Initiate Screening	Advanced Search
SCORECARD		All Third Parties 🧷	TOP RISKIEST THIRD PARTIES	All Third
Risk Score Ranges			Third Parties	SSI SEI
Risk # Th	rd Party		Third Party Supplier	10
All Risk	247		Third Party Supplier Third Party Supplier	10
High Risk	35	100% All Risk	Third Party Supplier	10
Medium Risk	22	Third Party	Third Party Supplier	10
Low Risk	143		Third Party Supplier	10
Unknown	47		Third Party Supplier	10
			Third Darty Cumplian	10

THIRD PARTY SUMMARY PAGE

provides 360-degree insights on individual third-party suppliers – allowing you to drill down deeper for an overall view of:

- At-a-glance predictive scores
- Visual risk continuum
- Watch lists and monitored files
- Consumable ratings
- Ultimate Beneficial Ownership
- ESG Rankings
- Financial, legal, and government indicators, including diversity status
- Corporate Linkage: displays a full family tree, allowing users to get the complete picture of the supplier's family
- Geographic location of business



RESTRICTED PARTY SCREENING offers

an intelligent five-step workflow to search assess, screen, adjudicate, and decide on whether any potential regulatory violations might impact your company's business relationship. RPS provides ease of adjudication at the company and event level with "bulk adjudication," in which decisions are rolled up or down (entities or events) based on where adjudication is performed. Screened businesses can also be monitored via alerts.

Users can perform:

- Standard RPS Company name and up to 6 tradestyles included in screening names. All entities uploaded to the solution.
- Enhanced RPS Company name, upward linkages, principals and tradestyles are screened for PEPs, watchlists, sanctions, and adverse media.
- Enhanced RPS+: Also includes ultimate beneficial ownership (UBO) data and stateowned companies (SOC) sanction lists.

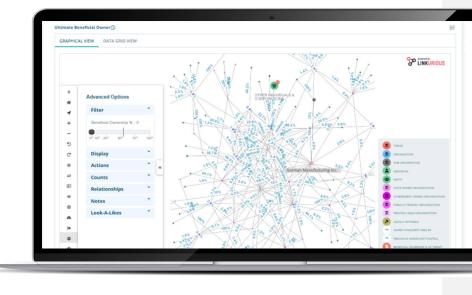
ULTIMATE BENEFICIAL OWERNSHIP

delivers comprehensive UBO data to establish source of wealth and gain visibility into who you are doing business with.

- Data on over 321 million directors
- Key insights on 130+ million shareholders
- Coverage available of 455+ million entities worldwide

D&B Risk Analytics' interactive Beneficial Ownership visualization allows you to easily interpret complex ownership structures and filter to report on ownership by percentage, relationship, control type, country, and more.

ITIATE ENHANCED SCREENING							
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inter manadetering screening	dole Gol	man manufacturing screening	10010	10001			
Search	Initial Risk Assessment	Send fo	or Screening		Adjudication		
					,-		
SELECT SCREENING SUBJECTS							
Screening Subject 👻	Туре 👻	Information 👻	Screening S	Status 🔻	Match Status 🕶	Adjudication Status	
Jonathan Gusman	Individual	Principal	Complete		Match Found	_	18% 5 of 34
Samantha Vikash	Individual	Principal	Complete		Match Found		57% 4 of 7
Alberto Gambini	Individual	Principal	In Progress		÷		0% 0 of 6
Susan Gorzininski	Organization	DUNS Number	Complete		Match Found		100% 10 of
ADVERSE MEDIA							
Bulk Adjudicate							
Event Type 👻		Source Name		Source Date 👻	Source URL		Adjudication
Adverse Media // Regul	latory// Regulatory Issues	The San Francisco Chronicle		14-Jun-2017	https://global.factiv	a.com/redir/default	Adjudicate
Adverse Media // Comp Management Issues	petitive/Financial //	Agence France Presse BBC Monitoring Caucasus		23-Jul-2012	https://global.factiv	a.com/redir/default	Material
Adverse Media // Regul Adverse Media // Comp	latory//Fraud Issues	Organisation of Asia-Pacific News Agencies		14-Jun-2017	https://global.factiv	a.com/redir/default	



D&B ESG INTELLLIGENCE is designed to help you incorporate environmental, social and governance evaluation components into your risk management processes to help manage risk, increase supply chain resiliency, and drive entity performance. This feature add-on provides you with comprehensive coverage of environmental, social, and governance performance data spanning over 35 million public and private entities worldwide. These insights are synthesized into meaningful ESG Rankings for supplier risk prioritization and informed decision making. Modern interactive ESG dashboard widgets and summary lists help your team to quickly assess the ESG performance of your supplier portfolio at a glance.

This intelligent data is built around trusted sustainability frameworks such as SASB (Sustainability Accounting Standards Board), GRI (Global Reporting Initiative), UN SDGs (United Nations' Sustainable Development Goals) and numerous others for measurability and standardization.

D&B ESG Intelligence updated is monthly and is organized into 13 ESG themes and 31 granular topic rankings, allowing you to:

- Incorporate ESG into your due diligence processes
- Identify and understand ESG risks in your supply chain
- Actively monitor ESG risks and engage suppliers
- Effectively measure report on your portfolio's ESG performance



REPORTS are available either prepackaged or custom. Users can select form a variety of prepackaged report templates, including financial risk activity, diversity status, and usage. Using the data available across the D&B Risk Analytics solution, users can also create custom reports on the metrics that matter most to your team and executives. In addition, users can export reports and dashboards, as well as schedule and email reports and dashboards with other team members.

Date Range		Туре	User					Reports Quick links to the Reports to
From Date	To Date	Select •	Select -			App	ly Clear	Save Filters and Snapshots
								Diversity
Name 🔻	Туре▼	User 🔻	Date Created 🕶	Shared With 🕶	Acti	ions		Supply Base Statistics Financial Risk Activity
Diversity – March 2020	Diversity	sam.ayers@email.com	26-Mar-2020 12:20:30 PM	11	A	۵	~	Usage
Portfolio – 2020	Portfolio	Email Address here	22-Mar-2020 12:20:30 PM	11	۵	U	~	Third Party
Workflow – March 2020	Workflow Aging	Email Address here	18-Mar-2020 12:20:30 PM	11	A	U	~	Workflow Aging Enhanced Screening Initiated En
Diversity – March 2020	Diversity	Email Address here	15-Mar-2020 12:20:30 PM	11	A	۵	~	Enhanced Screening Decision
Portfolio – 2020	Portfolio	Email Address here	12-Mar-2020 12:20:30 PM	11	A	Ū	~	Entitles Due for Rescreening
Showing 1-7 of 45					141 4	1 2	Е н н	

IMPORT/EXPORT YOUR PORTFOLIO

easier with enablement files that provide samples and tools to help you import and export data. You can import your supplier data into D&B Risk Analytics cloud solutions to use and manage it. Similarly, you can also export data from your D&B Risk Analytics site using the same tools.

Interpret 122030 PM Sam Ayers Dipated 11 Users 26-Mar-2020 122030 PM Username here In-Progress 27 Contacts 26-Mar-2020 122030 PM John Thomas Updated with Errors 3 Tags - - - -	File Type 👻	Last Imported Date ▼ 26-Mar-2020	Last Imported By	Status 👻	Input Record ▼	Up
Contacts 26-Mar/2020 12:20:30 PM John Thomas Updated with Errors 3						
Contacts 12:20:30 PM John i nomas 🔺 Updated with Errors 3	Users	26-Mar-2020 12:20:30 PM	Username here	 In-Progress 	27	
Tags · · · ·	Contacts		John Thomas	Updated with Errors	3	
	Tags					

ALERTS keep users up-to-data on changes to their suppliers' risk for proactive monitoring. Alerts are linked to Dun & Bradstreet's proprietary scores as well as changes in event indicators, such as sales, employees, or net worth. Alerts can be set up on the third parties in your portfolio, or on any of the companies checked for restricted party screening. Users can see details regarding the types of change and positive or negative impact of change.

All 👻			反	Unread / Read 🛛 🖄 Ex
Alert -	Third Party 👻	Tags 👻	Туре 👻	Date & Time 👻
O The Supplier Stability Indicator (SSI) has changed from 7 to 8.		16	Predictive Score Changes	26-Mar-2020 12:20:30 PM
□ • The Supplier Stability Indicator (SSI) has changed from 7 to 8.		22	Predictive Score Changes	25-Mar-2020 12:20:30 PM
O The Supplier Stability Indicator (SSI) has changed from 7 to 8.		12	Predictive Score Changes	25-Mar-2020 12:20:30 PM
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• The Supplier Stability Indicator (SSI) has changed from 7 to 8.		12	Predictive Score Changes	24-Mar-2020 12:20:30 PM

Get started with D&B Risk Analytics. **Sign up for a free Trial today!**

Free Trial

About Dun & Bradstreet

Dun & Bradstreet, a leading global provider of business decisioning data and analytics, enables companies around the world to improve their business performance. Dun & Bradstreet's Data Cloud fuels solutions and delivers insights that empower customers to accelerate revenue, lower cost, mitigate risk, and transform their businesses. Since 1841, companies of every size have relied on Dun & Bradstreet to help them manage risk and reveal opportunity.

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